

| | | | | | | | | |
|---|---|----|---|--|--|-----------------------------------|--|--|
| FORM PTO-1449/A and B (modified PTO/SB/08) INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | | APPLICATION NO.: 10/595,103 | | ATTY. DOCKET NO.: C1271.70021US02 | | |
| | | | | FILING DATE: February 14, 2006 | | CONFIRMATION NO.: 2609 | | |
| | | | | APPLICANT: Kyle J. Lindstrom <i>et al.</i> | | | | |
| | | | | GROUP ART UNIT: 1625 | | EXAMINER: Niloofar Rahmani | | |
| Sheet | 1 | of | 2 | | | | | |

U.S. PATENT DOCUMENTS

| Examiner's Initials # | Cite No. | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication or Issue of Cited Document MM-DD-YYYY |
|-----------------------|----------|----------------------|-----------|---|--|
| | | Number | Kind Code | | |
| | | 4,753,951 | | Takada <i>et al.</i> | 06-28-1988 |
| | | 6,200,592 | B1 | Tomai <i>et al.</i> | 03-13-2001 |
| | | 2003-0158192 | A1 | Crooks <i>et al.</i> | 08-21-2003 |
| | | 2003-0187016 | A1 | Crooks <i>et al.</i> | 10-02-2003 |
| | | 2007-0060754 | A1 | Lindstrom <i>et al.</i> | 03-15-2007 |

FOREIGN PATENT DOCUMENTS

| Examiner's Initials # | Cite No. | Foreign Patent Document | | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Translation (Y/N) |
|-----------------------|----------|-------------------------|------------|-----------|---|---|-------------------|
| | | Office/Country | Number | Kind Code | | | |
| | | AU | 2004220534 | A1 | 3M Innovative Properties Company | 09-23-2004 | |
| | | AU | 2004229478 | A1 | 3M Innovative Properties Company | 10-28-2004 | |
| | | AU | 2004268625 | A1 | 3M Innovative Properties Company | 03-10-2005 | |
| | | NZ | 545412 | A | Coley Pharm Group Inc | 12-24-2008 | |
| | | WO | 01/74343 | A2 | 3M Innovative Properties Company | 10-11-2001 | |
| | | WO | 02/046194 | A2 | 3M Innovative Properties Company | 06-13-2002 | |
| | | WO | 02/046749 | A2 | 3M Innovative Properties Company | 06-13-2002 | |
| | | WO | 02/102377 | A1 | 3M Innovative Properties Company | 12-27-2002 | |
| | | WO | 03/020889 | A2 | 3M Innovative Properties Company | 03-13-2003 | |
| | | WO | 03/043572 | A2 | 3M Innovative Properties Company | 05-30-2003 | |
| | | WO | 03/045391 | A1 | 3M Innovative Properties Company | 06-05-2003 | |
| | | WO | 03/097641 | A2 | Novartis AG | 11-27-2003 | |
| | | WO | 04/080398 | A2 | 3M Innovative Properties Company | 09-23-2004 | |
| | | WO | 04/091500 | A2 | 3M Innovative Properties Company | 10-28-2004 | |
| | | WO | 05/110013 | A2 | Sodick Co., Ltd. | 11-24-2005 | |

OTHER ART — NON PATENT LITERATURE DOCUMENTS

| Examiner's Initials # | Cite No | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | Translation (Y/N) |
|-----------------------|---------|---|-------------------|
| | | International Search Report and Written Opinion for PCT/US2004/032616 mailed April 18, 2005 (C1271.70017WO00). | |
| | | International Preliminary Report on Patentability for PCT/US2004/032616 mailed April 13, 2006 (C1271.70017WO00). | |
| | | International Search Report and Written Opinion for PCT/US2004/028021 mailed February 3, 2005 (C1271.70021WO00). | |

| | |
|-------------------------------------|------------------------------------|
| EXAMINER: /Niloofar Rahmani/ | DATE CONSIDERED: 04/05/2010 |
|-------------------------------------|------------------------------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

| | | | | | |
|--|---|----|---|--|-----------------------------------|
| FORM PTO-1449/A and B (modified PTO/SB/08) INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | | APPLICATION NO.: 10/595,103 | ATTY. DOCKET NO.: C1271.70021US02 |
| | | | | FILING DATE: February 14, 2006 | CONFIRMATION NO.: 2609 |
| | | | | APPLICANT: Kyle J. Lindstrom <i>et al.</i> | |
| | | | | GROUP ART UNIT: 1625 | EXAMINER: Niloofar Rahmani |
| Sheet | 2 | of | 2 | | |

| | | | |
|--|--|---|--|
| | | International Preliminary Report on Patentability for PCT/US2004/028021 mailed February 27, 2006 (C1271.70021WO00). | |
| | | Office Communication mailed July 28, 2009 for Application No. 10/595,230 (C1271.70017US01). | |
| | | Office Communication mailed December 30, 2009 for Application No. 10/595,230 (C1271.70017US01). | |
| | | DORWALD, "Preface." Side Reactions in Organic Synthesis. A Guide to Successful Synthesis Design. Wiley-VCH. 2005: IX. | |

[NOTE – No copies of U.S. patents, published U.S. patent applications, or pending, unpublished patent applications stored in the USPTO's Image File Wrapper (IFW) system, are included. See 37 CFR § 1.98 and 1287OG163. Copies of all other patent(s), publication(s), unpublished, pending U.S. patent applications, or other information listed are provided as required by 37 CFR § 1.98 unless 1) such copies were provided in an IDS in an earlier application that complies with 37 CFR § 1.98, and 2) the earlier application is relied upon for an earlier filing date under 35 U.S.C. § 120.]

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /NR/

| | |
|------------------------------|-----------------------------|
| EXAMINER: /Niloofar Rahmani/ | DATE CONSIDERED: 04/05/2010 |
|------------------------------|-----------------------------|

[#] EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.